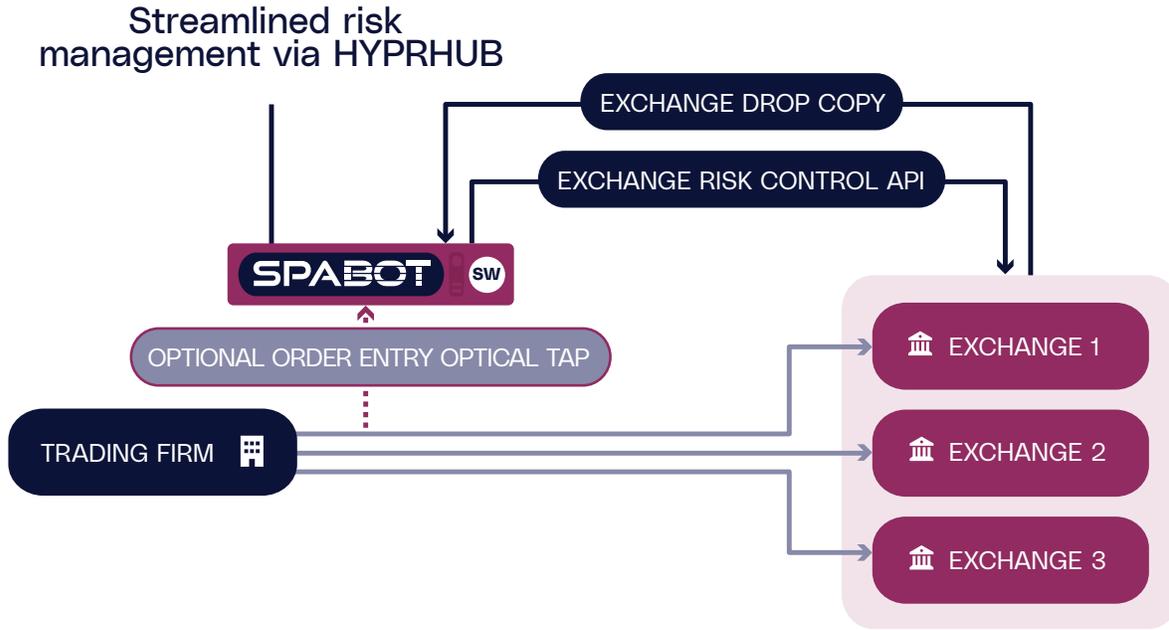


Sponsored Access Risk Management



The Sponsored Access Challenge

Brokers still have market access regulatory obligations, yet remain unprotected by sponsored access at the exchange level. In the U.S., FINRA prohibits over-reliance on ATS or exchange tools to appropriately control risk, creating operational and compliance exposure.

With Spabot, trading firms can increase their efficiency and overall performance, while maintaining oversight requirements. As an added benefit, Spabot can consolidate activity across strategies, offering a full view of global risk and trading across the organization.

FEATURES & BENEFITS

-  **CENTRALIZED KILL SWITCH**
HPR enforcement actions directed to exchanges
-  **UNIFIED RISK CHECKS ACROSS VENUES**
Duplicate, notional value, open order value, and P&L checks
-  **PRE AND POST-TRADE CAPTURE**
Optional optical tap for data capture
-  **MINIMIZED HUMAN ERROR**
Set exchange controls from HPR GUI

BUILT FOR

Tier-1 banks that allow their trading end-users to leverage exchange sponsored access

Complete Risk Management for Exchange Sponsored Access Flow

Tier-1 banks and trading firms benefit from a single, centralized location to set controls, monitor and visualize risk, and stop trading activity, if necessary. Spabot integrates both direct market access (DMA) gateways and sponsored access flow for risk aggregation and risk control.

Tap the power of HPR's Unified Platform

Tier-1 banks can use Spabot for their trading end-users who wish to capitalize on fast-track trading directly with an exchange

Spabot mitigates certain risks introduced by individualized controls offered at various exchanges. As a core benefit of our comprehensive platform, broker firms have the utmost flexibility to drop in Spabot as an addition to their existing HPR deployments. Update risk settings and access the kill switch from a single location. Brokers can quickly integrate with exchanges as additional exchange risk controls and API integrations become available.

Our clients can mix and match pre-trade, at trade, and enterprise risk controls and enforcement onto a single unified platform.

“HPR is the gold standard in risk gateways and at-trade risk management...”

- WELLS FARGO



PROVEN

HPR is the market-leading platform-as-a-service (PaaS) provider to global brokers, buy sides and exchanges



FLEXIBLE

With exceptional quality hardware and software solutions, clients benefit from unparalleled speed and functionality, meeting all latency and cost requirements



SIMPLIFIED

Reduce technology bloat and unify your electronic trading infrastructure

About

HPR is a comprehensive Platform-as-a-Service (PaaS) provider delivering high-performance hardware and software capital markets infrastructure solutions. Powering global tier-1 brokers, hedge funds, and proprietary trading firms, HPR takes a unified approach to supporting electronic trading technology and enterprise risk management with unparalleled speed, efficiency, reliability, and determinism. HPR's products, all offered as a fully managed service, provide ultra-low-latency pre-trade risk management, direct market access, market data delivery, and matching engine technology. For more information, visit www.hyannisportresearch.com.



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